

NAME

City, State • Phone • [email](#)

CHIEF FINANCIAL OFFICER / MANAGING DIRECTOR

Innovative and far-sighted Finance and Operational leader with strong strategic experience and decision-making influence as head of the reinsurance and capital marketing functions of 2 multi-billion dollar reinsurance companies. Skilled at strongly aligning finance and accounting functions with corporate objectives in areas of revenue growth, cost efficiencies and operational streamlining. A champion of automation and the resulting cost and manpower efficiencies. Ability to reconcile and enhance effectiveness of diverse internal reporting systems. Leverage polished communication skills and a reputation for integrity to achieve high levels of consensus across diverse interests.

Core Competencies:

Finance Leadership • Operational Management • Strategic Planning • Project Management
Accounting • Global & Domestic Compliance • Policy Development • Process Improvement
Change Management • Continuous Improvement Strategies • Team Leadership & Development
Issue Resolution • Reporting • Process Automation • Statutory Accounting Principles
Sarbanes-Oxley 302 & 304 • SEC Guidelines • USGAAP • IFRS • USSTAT • Solvency II

PROFESSIONAL EXPERIENCE

FINANCE CORP., CITY, ST • 2007- 2016

Investment management and support unit of Finance Reinsurance Company Ltd.

Managing Director of Operations & Finance

Managed the Investment Accounting function and supervised a team of 7 personnel. Headed accounting as well as all internal and external reporting for 5 capital market companies with over \$18B of invested assets. Oversaw all bases of accounting including USGAAP, IFRS, USSTAT and Solvency II including \$1B of illiquid, esoteric investments. Led the Investment Operations team of 4 personnel focused on providing support for in-house asset management including ensuring settlement, cash and position reconciliations, and valuation and performance calculation. Managed investment operations support for in-house asset management.

- Established all aspects of the accounting and reporting team from scratch after the function was transferred from Bermuda to City, State.
- Oversaw efficient and successful migration of the process to a new accounting provider.
- Engineered gradual decrease in staff through development of efficiencies via IT automation.
- Transformed function under Internal Audit review for control deficiencies into a high performance unit that became the company model for controlled processes.
- Inherited the Investment Operations function, rapidly became familiar with the operation, and successfully implemented significant efficiencies.
- Reconciled various internal reporting systems, negotiated dedicated IT sources and orchestrated the elimination of several reports and systems.

MNO REINSURANCE (NORTH AMERICA) INC., City, ST • 1990-2007

North American unit of an internal national reinsurance company with 1,000 employees.

Senior Vice President / Chief Financial Officer / Treasurer, 2004-2007

Served as a key member of the company's Global Executive Finance Management Group and North America Senior Management Group. Directed all areas of Finance including technical accounting, financial reporting, account payable, tax, expense planning and reporting and payroll. Created and executed strategic business plans aligned with a broader vision that encompassed industry trends, technology, compliance issues and business development objectives. Led and mentored a high performance team with functional oversight for general accounting, reporting, compliance, and quarterly financials for a \$1B operation.

SVP / Chief Financial Officer / Treasurer Continued...

- Played key role on executive management team handling the company's run-off situation, cultivated a favorable relationship with the State Department of Insurance, and prepared and managed 5-year run-off plan that gained the Insurance Department's approval.
- Developed and worked on initiatives with executive management team that secured early termination of oversight by the Insurance Department.
- Ensured on-going compliance across diverse areas through formulation and implementation of best practices, policies, procedures, and internal audit requirements.

Vice President / Controller, 2000-2004

Oversaw financial accounting, technical accounting, financial reporting and treasury for an operation with \$7.1B in investment assets and \$1B in gross written premiums. Reviewed and approved quarterly financials and end-of-year SEC and statutory annual and financial reports. Served on several executive committees on Sarbanes-Oxley compliance, global guidance, technology innovations and long term business plans. Developed and presented investment strategies to Corporate Board members.

- Managed and implemented major automation initiatives that enabled staff reductions, improved data accuracy and reliability and increased business growth from \$600M to \$1B.
- Partnered closely with other members of the Global and Investment Committee to devise and recommend investment strategies for over \$7B in invested assets.
- Provided key assistance in the creation of an audited "carve out" North America financial statement to support the company's 2001 IPO.
- Formulated a Value Added philosophy that strongly linked finance and accounting functions to corporate objectives including revenue growth, cost efficiencies, and streamlining of operations.
- Spearheaded a major initiative to convert accounting paper files to electronic storage.

Senior Accountant to Assistant Vice President Financial Reporting, 1990-2000

Prepared timely and accurate reporting of North American financial statements ensuring compliance with GAAP and IAS Accounting standards. Interfaced closely with parent company in Bermuda to effectively support 3-year financial plan. Headed automation projects including financial statements and corporate planning tools covering "what if" scenarios.

EDUCATION

Master of Business Administration in Finance

University of XYZ, City, State

Bachelor of Science in Business Administration - Accounting

LMO University, City, State